

# About Borel and almost Borel embeddings for $\mathbb{Z}^d$ actions

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May, Descriptive Dynamics and Combinatorics Seminar at  
McGill University

In this talk we will report results with Tom Meyerovitch (2020), ongoing work with Spencer Unger and some open questions.

# Framework

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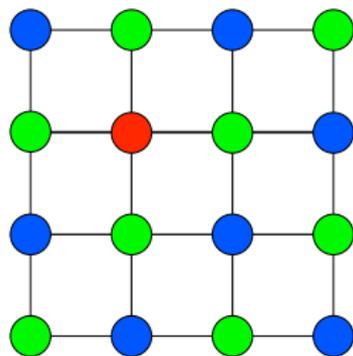
We want to understand the assumptions on the dynamical system  $(X, T)$  which implies that it is 'universal'.

By 'universal' we mean that 'any' free system  $(Y, S)$  (with low enough entropy) can be Borel embedded into  $(X, T)$ .

In this talk we focus on colouring of actions as an example.

# Chromatic number

The chromatic number of a graph is the minimum number of colours required to properly colour the graph.



The chromatic number of  $\mathbb{Z}^d$  is 2.

## Chromatic number of an action

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What is the chromatic number of this action?

In other words in what is the minimum  $k$  such that we can partition  $X := \sqcup_{i=1}^k X_i$  into Borel sets such that if  $x \in X_j$  then the neighbours of  $x$  are in  $\cup_{i \neq j} X_i$ .

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This would mean that if  $\mu$  is an invariant measure for the action then  $\mu(X_1) = \mu(X_2) = 1/2$  and both  $X_1$  and  $X_2$  are invariant under  $T^2$ . Hence  $T^2$  is not ergodic.

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Gao and Jackson (2015) showed that it is between 2 and 4.

Theorem (Chandgotia & Unger, and by Gao, Jackson, Krohne & Seward)  
*The chromatic number of a free  $\mathbb{Z}^d$  action on a Polish space is either 2 or 3.*

We will now see a sketch of the proof.

We start with a theorem by Rokhlin.

Theorem (Rokhlin 1948 for  $d = 1$  / Katznelson & Weiss 1972 for  $d > 1$ )

*Let  $(X, T)$  be a free  $\mathbb{Z}^d$  action and  $\epsilon > 0$  and  $n \in \mathbb{N}$ . Then there exists  $A \subset X$  such that*

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How can we properly colour the space using this?

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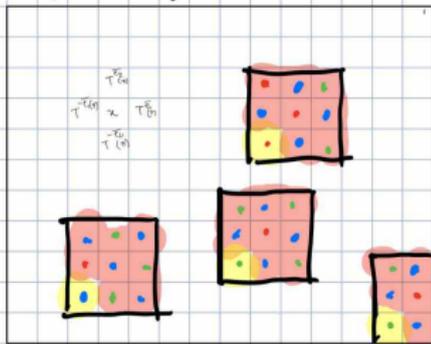
Let  $(X, T)$  be a free  $\mathbb{Z}^d$  action and  $\epsilon > 0$  and  $n \in \mathbb{N}$ . Then there exists  $A \subset X$  such that  $T^{\vec{a}}A, \vec{a} \in [1, n]^d$  are disjoint and

$$\mu(\bigcup_{\vec{a} \in [1, n]^d} T^{\vec{a}}(A)) > 1 - \epsilon$$

for all invariant measures  $\mu$ .

$$\bigcup_{\vec{a} \in [1, n]^d} T^{\vec{a}}(A) = B$$

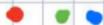
$\forall \mu$  a.e.  $x \in X$ ,  
Orbit of  $x$ .



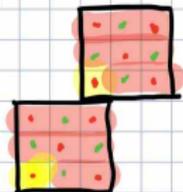
● Elements of A  
● Elements of  $B \setminus A$

$B$  covers about  $(1 - \epsilon)$  proportion of orbit.

Now each element of  $B$  can be assigned a colour.

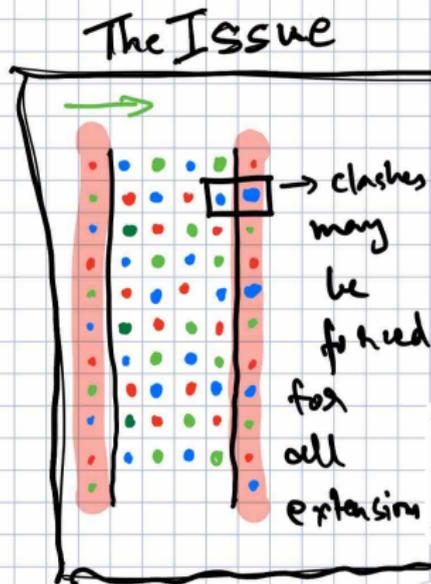
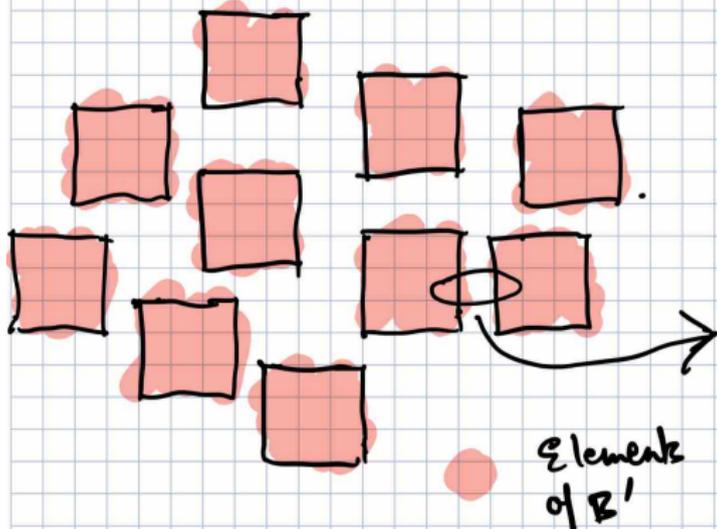


Some of these colours may clash on the boundary

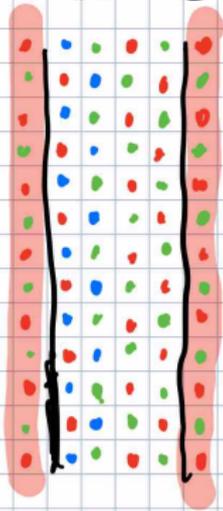


So we colour  $B' = \bigcup_{\vec{a} \in [1, n-2]^d} T^{\vec{a}}(A)$

But now we want to extend the colouring to entire space.

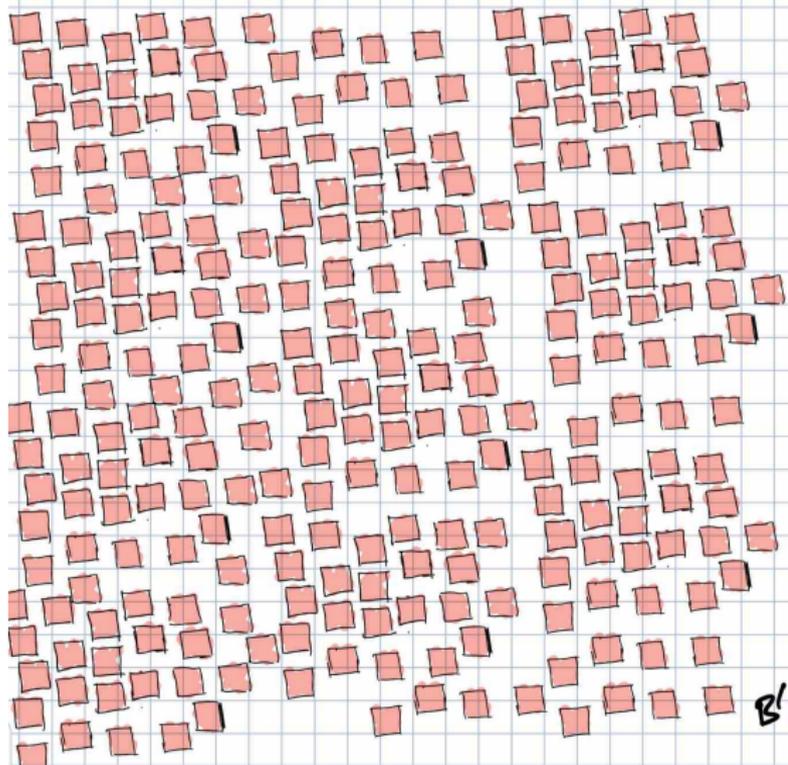


We need to colour the boundary  
of the boxes carefully.-



Checkerboard patterns on the boundary  
always extend.

So now we have a covering of  $B'$



We now choose

$$n_1 \gg \gg n$$

$$\varepsilon_1 \ll \ll \varepsilon$$

and find

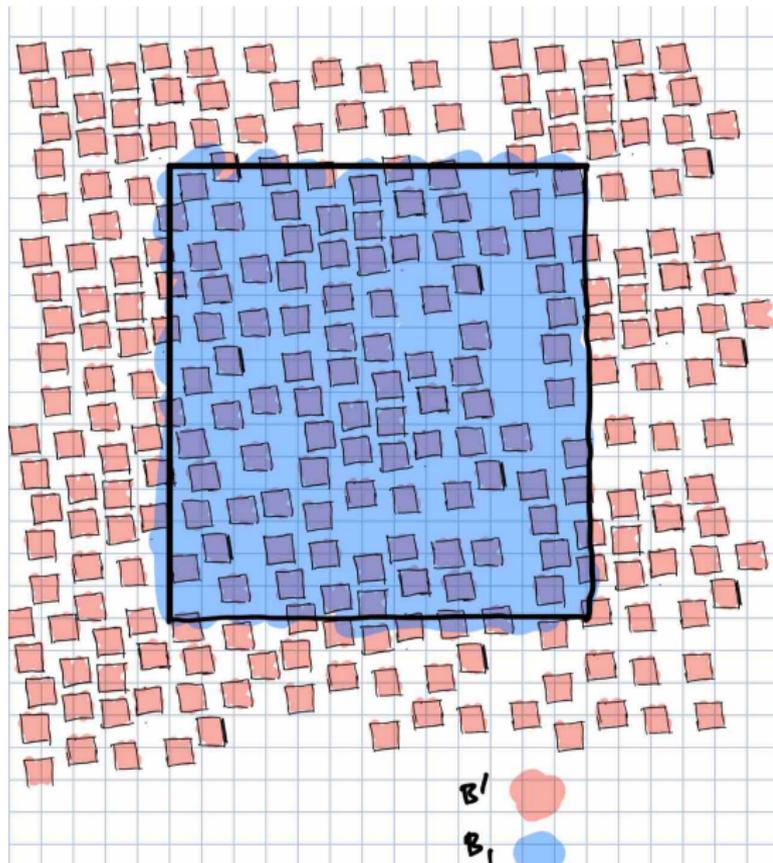
$A_1$  st

$$\mu(\bigcup_{\bar{e} \in [1, n_1]d} T_{\bar{e}}^{A_1})$$

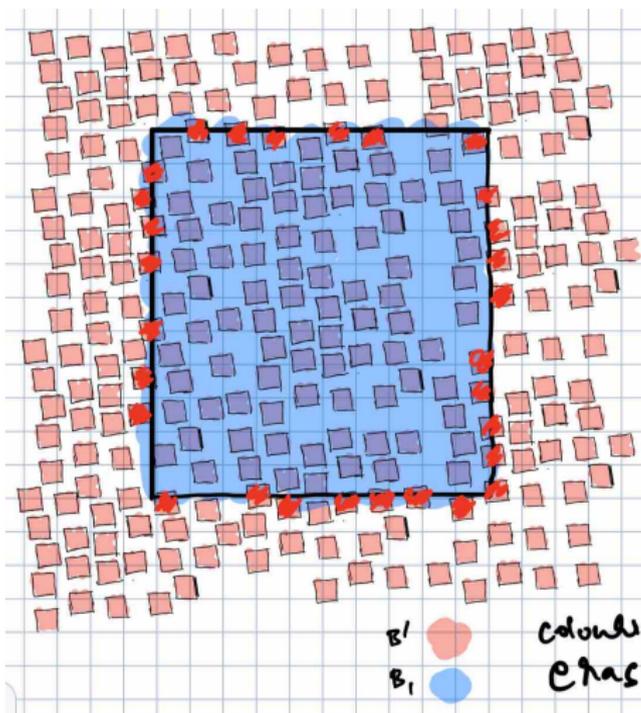
$$> 1 - \varepsilon_1$$

$$B'_1 = \bigcup_{\bar{e} \in [3, n_1 - 2]d} T_{\bar{e}}^{A_1}$$

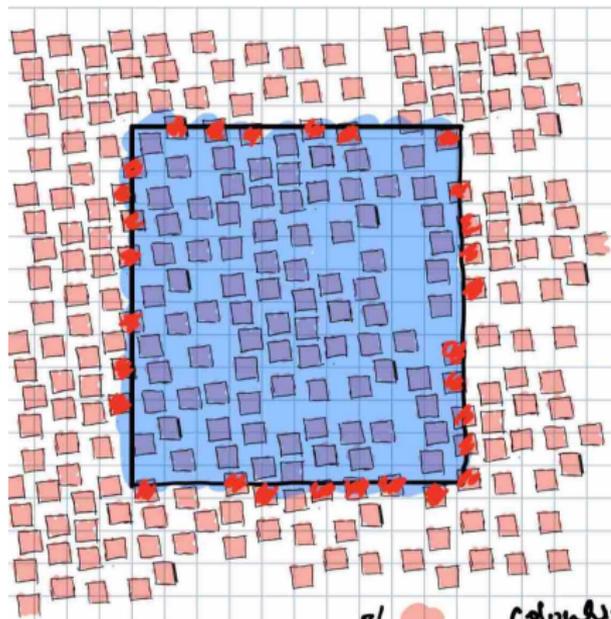
$B'$



We want to extend the colouring to  $B_1$ .  
So we erase the colours on  $B_1'$  close to boundary



Expand the colony  
on the boundary.  
And now extend  
the remaining  
colouring to  $B_1$   
Continue in this  
manner.



$B'$   
 $B_i$



coloured  
erased.  $\blacklozenge$

If we choose the parameters carefully the erased parts will have small measure, say

$$\mu(\text{erased colouring at step } i) = \varepsilon_i$$

If  $\sum \varepsilon_i < \infty$

by Borel-Cantelli

the colouring will be erased only finitely many times  $\mu$ -a.s.



## Şahin-Robinson's colouring

Given a  $\mathbb{Z}^d$  action  $(X, T)$ , a set is called a **full set** if  $\mu(X') = 1$  for invariant probability measures  $\mu$ .

Theorem (Şahin-Robinson, 2004)

*Let  $(X, T)$  be a free  $\mathbb{Z}^d$  action. There exists a proper 3-colouring of a full set  $X' \subset X$ .*

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The answer is yes.

Given a  $\mathbb{Z}^d$  action  $(X, T)$ , by its **entropy**, we mean the Gurevic entropy, that is, the supremum of the measure theoretic entropy on the space.

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You can assume that it is some measure of size / complexity of the action  $(X, T)$ .

Theorem (Chandgotia, Meyerovitch 2021)

*Let  $(X, T)$  be a free  $\mathbb{Z}^d$  action of entropy less than the space of 3-colourings. There exists a full set  $X' \subset X$  which can be embedded into the space of proper 3-colourings in an equivariant manner.*

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Question

*Prove that the space of proper 3-colourings is universal, that is, there is no need to get rid of null set to obtain an embedding.*

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Our results built upon techniques developed by Hochman (2013), who proved the same result for the full shift in one dimension (strengthening the result for Krieger-1972).

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Şahin and Robinson (2002) proved universality for certain systems assuming certain mixing conditions (which is not satisfied by the systems given above).

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For the space of domino tilings we needed an estimate which was known in  $d = 2$  due to Kastelyn (1968) and was recently proved by me for  $d > 2$ .

What combinatorial estimate do we need?- A major open question

Consider a set of rectangles  $T_1, T_2, \dots, T_p$  such that

$\gcd(\text{dimension of } T_i \text{ in the } k\text{th direction}; 1 \leq i \leq p) = 1$  for all  $k$ .

Let  $N$  be the product of the side lengths. We need to compare perfect tilings of a  $Nk$ -box and tilings without any boundary restriction.

Question

*Prove that*

$$\lim_{k \rightarrow \infty} \frac{\log \#(\text{perfect tilings of a } [1, Nk]^d)}{\log \#(\text{tilings of a } [1, Nk]^d)} = 1.$$

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- ④  $(X, T)$  is space with non-uniform specification.

With the last item we were answering a question by Quas and Soo (2012) who proved this with some additional hypothesis.

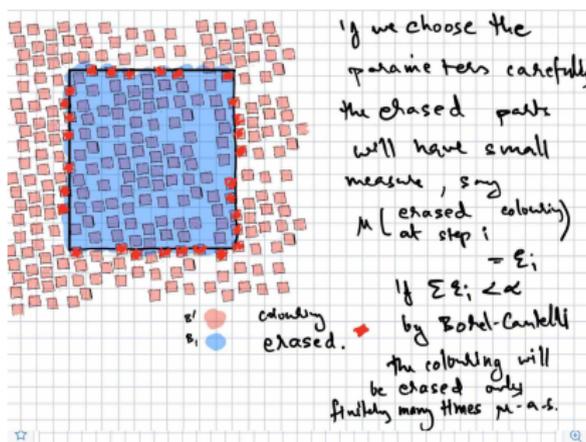
A nice corollary of our work is the following:

Theorem (Chandgotia, Meyerovitch 2021)

*A generic homeomorphism (with respect to the sup-metric) of any manifold of dimension  $> 1$  is almost universal.*

We believe that adjective almost is unnecessary.

What problems are encountered getting rid of the 'almost'?



We needed that all most every point of the space  $X$  belongs to at most finitely many boundaries of Rokhlin towers.

This no longer holds in the Polish setting.

## Why can't we use Rokhlin towers directly?

Theorem (Gao, Jackson and Krohne, 2015)

*Let  $d \geq 2$  and  $(X, T)$  be a  $\mathbb{Z}^d$  minimal dynamical system such that the subsystem with respect to  $\mathbb{Z} \times \{0\}^{d-1}$  is also minimal.*

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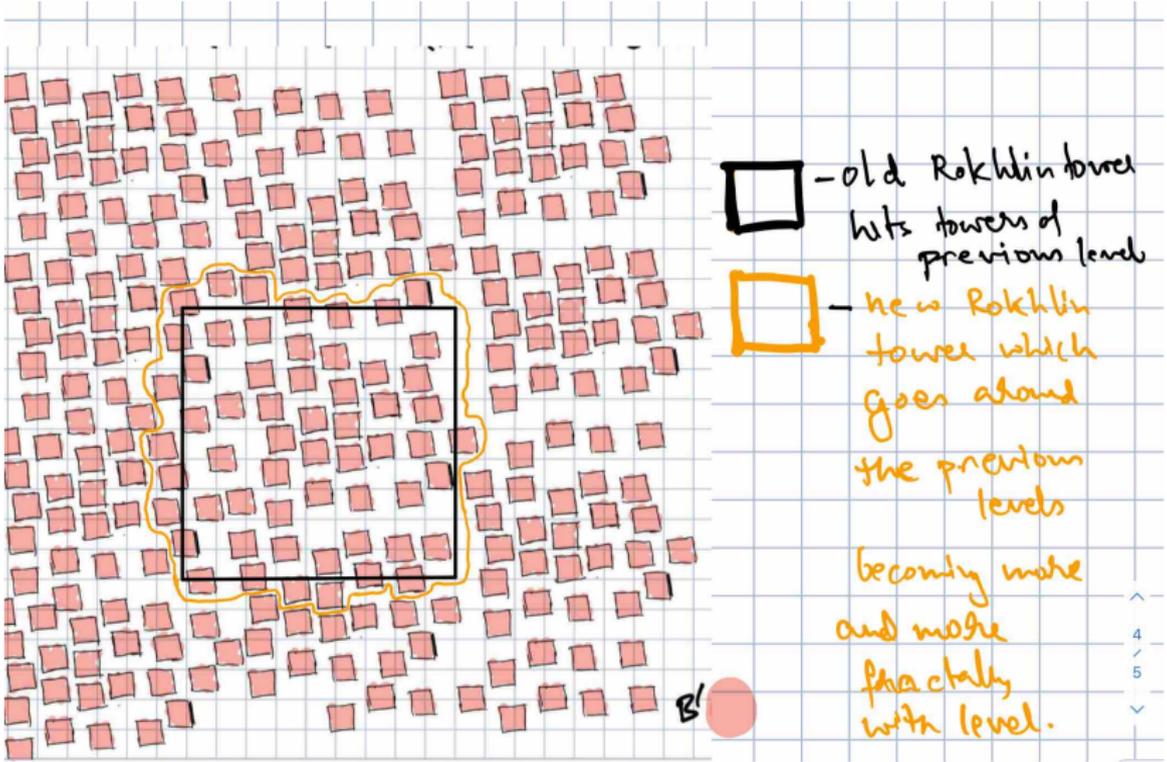
*Then the set*

$$\{x \in X : x \in \partial B_n \text{ for infinitely many } n\}$$

*is comeager.*

Gao, Jackson and Seward also suggested a workaround. A proof of this can be found in a paper by Marks and Unger.

# Gao, Jackson and Seward's walkaround



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Again our methods are general enough to show that we can find a factor from any free Polish  $\mathbb{Z}^d$  action  $(X, T)$  to:

- ① The space of tilings by rectangles (under some natural necessary conditions).
- ② The space of directed bi-infinite Hamiltonian paths.

The first result extends results of Gao and Jackson who need additional assumptions on the rectangles. It answers question raised by Gao, Jackson and Seward.

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The second result recovers a result announced by Gao, Jackson, Krohne & Seward. Under presence of an ergodic measure this result was announced by Downarowicz, Oprocha & Zhang.



## But can we get no embedding results?

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Theorem (Tserunyan 2015)

*Let  $(X, T)$  be the action of a countable group with no invariant probability measures then it can be embedded in the full shift over 32 symbols.*

Theorem (Hochman 2019)

*Let  $(X, T)$  be the action of  $\mathbb{Z}$  with no invariant probability measures then it can be embedded in any shift of finite type.*

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Theorem (Chandgotia & Unger)

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Our methods are completely different from the previous proofs of such results but restricted to symbolic spaces.

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Theorem (Gao & Jackson 2015)

*A continuous 3-colouring of the free part of the 2-full shift does not exist (but a 4-colouring does).*

Theorem (Salo, 2021)

*There is no continuous embedding of the space of proper 3 colourings into the 2 full shift.*

## Open questions

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$$\lim_{n \rightarrow \infty} \frac{1}{N^d n^d} \log(\text{the number of tilings of } [1, Nn]^d \text{ by elements of } \mathbb{T}) = \text{topological entropy of all the tilings of } \mathbb{T}.$$

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